

State of Illinois
State Internal Audit
Advisory Board



2014 SIAAB
Fall Government
Auditing Conference

October 29-30, 2014
Northfield Inn, Suites & Conference Center
Springfield, IL



State of Illinois State Internal Audit Advisory Board

Fall Government Auditing Conference October 29 and 30, 2014

A Note from the SIAAB Conference Coordinator

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of The Institute of Internal Auditors.

Part of the SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's Conference offers a wide variety of topics, including breakout sessions where peers can exchange ideas and information. We hope that the range of topics offered at this Conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$75, you will earn 14 hours of CPE, which provides tremendous value in these tight economic times. I would also like to invite you to learn more about the SIAAB by exploring our Web site at: <http://siaab.audits.uillinois.edu>.

Tracy K. Allen, CPA, CISA
Chief Internal Auditor
Illinois Office of the Comptroller

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Points of Interest and Lodging Information

THINGS TO DO IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln's Home, National Historic Site
- Lincoln's Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall
- Scheels super store
- And much more!



low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's original horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at: <http://www.visit-springfieldillinois.com/>.

HOTEL ACCOMMODATIONS

A block of rooms is being held at the *Northfield Inn, Suites & Conference Center, Springfield Illinois* for the nights of **October 28 and 29, 2014**.

The *Northfield Inn* is located at:
**3280 Northfield Drive
Springfield, Illinois 62702**



Reservations are to be made directly with the *Northfield Inn* by calling **217-523-7900**. The block of rooms is reserved under the name of **The Institute of Internal Auditors** at the State rate of **\$70** (plus tax). **The**

block of rooms will be released on October 14, 2014. After the cutoff date, there is no guarantee that rooms under this block or at State rates will be available.

Additional information about the *Northfield Inn* can be found at:
<http://www.northfieldinn.com>

Fall Government Auditing Conference

State Internal Audit Advisory Board
Co-Sponsored by the Springfield Chapter of
The Institute of Internal Auditors

DATE:

Wednesday, October 29, 2014, and Thursday, October 30, 2014

FIELD OF STUDY:

Federal / State Governmental Accounting and Auditing

COURSE OBJECTIVE:

Increase professional accounting and auditing skills pertaining to:

- State Records Commission Compliance
- State Internal Audit Advisory Board Quality Assurance Review Process
- Fraud Identification and Investigation
- Cyber Security
- Using Sharepoint to make your organization more efficient
- State of Illinois Procurement Requirements
- Freedom of Information and Open Meetings Act compliance
- Rutan compliant interview techniques
- Financial Reporting Standards Board requirements and GAAP reporting requirements

COURSE LEVEL:

- External / Internal Audit Staff;
- External / Internal Audit Supervisors;
- External / Internal Audit Managers; and
- Chief Internal Auditors.

(No advanced preparation required.)

LOCATION: Northfield Inn, Suites & Conference Center

CPE: 14 Hours

Course Fee: \$75.00

07:45 a.m.—08:30 a.m.	REGISTRATION / SIGN-IN
08:30 a.m.—08:35 a.m.	OPENING REMARKS Marcus A. Dodd, CFE, CPA SIAAB Chairman Chief Internal Auditor Illinois Department of Employment Security
08:35 a.m.—09:35 a.m.	State Records Commission Rochelle Joseph
09:35 a.m.—9:50 a.m.	BREAK
9:50 a.m.—10:50 a.m.	State Records Commission Rochelle Joseph
10:50 a.m.—Noon	SIAAB Quality Assurance Process Julie Zemaitis, U of I Chief Internal Auditor
Noon — 01:00 p.m.	LUNCH
01:00 p.m.—02:00 p.m.	Fraud Identification and Investigation Bill Harmening, SOS - Securities Investigator
02:00 p.m.—03:00 p.m.	Cyber Security Jeff Thompson, CMS-BCCS
03:00 p.m.—03:20 p.m.	BREAK
03:20 p.m.—04:20 p.m.	Cyber Security Jeff Thompson, CMS-BCCS
04:20 p.m.—04:30 p.m.	WRAP-UP AND ADJOURNMENT

07:45 a.m.—08:30 a.m.	REGISTRATION / SIGN-IN
08:30 a.m.—8:35 a.m.	OPENING REMARKS Marcus Dodd, CFE, CPA SIAAB Chair
08:35 a.m.—10:30 a.m.	Using SharePoint to Increase Efficiency Chris Hill, Distributed Systems Manager, IDOT
10:30 a.m.—10:50 a.m.	BREAK
10:50 a.m.—11:50 a.m.	Procurement Changes and Challenges Chad Fornoff, Executive Ethics Commission
10:50 a.m.—11:50 a.m.	Information Technology Roundtable Discussion Jeremy Mehochko, Facilitator
11:50 a.m.—12:50 p.m.	LUNCH
12:50 p.m.—01:50 p.m.	Freedom of Information and Open Meetings Act Compliance Update Sarah Pratt, Public Access Counselor
12:50 p.m.—01:50 p.m.	Chief Internal Auditors' Roundtable Discussion Steve Kirk, Facilitator
01:50 p.m.—02:00 p.m.	BREAK
02:00 p.m.—03:00 p.m.	University Auditors' Roundtable Discussion Julie Zemaitis, Facilitator
02:00 p.m.—03:00 p.m.	Rutan Interview Compliance Jeff Shuck, CMS Personnel Deputy Counsel
03:00 p.m.—03:15 p.m.	BREAK
03:15 p.m.—04:15 p.m.	Financial Reporting Standards Board and GAAP Reporting Requirements Bob Grogan, Bill Crowley, and Katie Madonia
04:15 p.m.—04:20 p.m.	WRAP-UP AND ADJOURNMENT

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Co-Sponsored by the
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Springfield Chapter of the Institute of Internal Auditors

Northfield Inn, Suites & Conference Center
3280 Northfield Dr., Springfield, IL 62702

REGISTRATION FEE: \$75.00

(Fee includes Continental Breakfast, Lunch, & Refreshments for both days of the Conference.)

NOTE

Payment for registration fees is not required in advance. The Springfield Chapter of the IIA will send invoices to all registered attendees **after** the conclusion of this conference.

Make Check Payable to:

THE INSTITUTE OF INTERNAL AUDITORS—SPRINGFIELD
(FEIN 37-1088763)

Please Mail Check to:

The Institute of Internal Auditors—Springfield Chapter
P.O. Box 205, Springfield, IL 62705

Cancellation Policy:

Written notice of cancellations received before **October 22, 2014** will be fully refunded. Cancellations received from **October 22–October 27, 2014** will be refunded less a \$25 processing fee. On or after **October 27, 2014**, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.

REGISTER

On-line at: <http://illinois.edu/fb/sec/5185547>

NOTE:

A confirmation of registration will be displayed on Browser upon submitting the online registration form. An e-mail confirmation of a registration is also available by selecting the email confirmation option on the online registration form.

PLEASE NOTE

Registration for this conference **will be closed by midnight, October 22, 2014**. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact Tracy K. Allen at 217-782-1003 or at AllenTK@mail.ioc.state.il.us

Questions?

Please Contact Tracy Allen at 217-782-1003
or at AllenTK@mail.ioc.state.il.us